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## DNA DIVERGENCE AMONG HOMINOID

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**Abstract.**—We have determined the degree of single-copy DNA divergence among the extant members of the Hominoidea employing the technique of DNA-DNA hybridization. The species studied include humans, two species of chimpanzees, gorillas, two subspecies of orangutans, and two species of gibbons; as an outgroup we have used a member of the Old World monkeys (*Cercopithecidae*), the baboon. Our methods are different from those previously used and allow us to control for two factors other than base-pair mismatch that can affect the thermal stability of DNA duplexes: the base composition and duplex length. In addition, we have studied more than one individual for most species and thus are able to assess the effect of intraspecific variation on phylogenetic conclusions.

The results indicate that the closest extant relatives of humans are the chimpanzees. Gorillas are the next closest, followed by orangutans and gibbons. This result is strongly supported statistically, as there is virtually no overlap in measurements between different taxa. Our conclusions are in agreement with a growing amount of molecular evidence supporting this pattern of relatedness. The data behave as a reasonably good molecular clock, and we do not see an indication of slowdown in molecular evolution in the clade containing humans and African apes, contrary to what has been documented for protein-coding regions. Because of the clocklike nature of the results, we have estimated that the divergence of humans and chimpanzees occurred about 6–8 million years ago. Results from orangutans indicate that the Borneo and Sumatra populations are genetically distinct, about as different as the named species of chimpanzees.

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The higher primates, specifically the Hominoidea, have presented molecular evolutionists and systematists with a difficult challenge. A long-standing goal of primatologists and anthropologists has been to establish a firm phylogeny for the extant members of this group in order to understand the evolutionary significance of fossil remains and thus reach a better understanding of the evolution of our own species. Classical systematic studies led to a number of proposed phylogenies, and it was hoped that molecular studies would shed light on which of the phylogenies was most likely. Data from molecular studies are a very dif-

ferent sort of information, which should serve as independent verification of the true phylogeny.

The main problem began to emerge with the earliest work of Goodman (1963) and Sarich and Wilson (1967). These studies, based on immunological methods, indicated that humans (*Homo sapiens*), common chimpanzees (*Pan troglodytes*), and gorillas (*Gorilla gorilla*) are almost equally distant from one another, and thus it was impossible to establish an unambiguous dichotomous relationship among them. Sarich and Wilson's (1967) introduction of the molecular-clock concept led to the further conclusion that these lineages separated very recently. Thus, the challenging problem presented by this important group is twofold.

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First, they split from one another quite recently so relatively little time has elapsed for the accumulation of phylogenetically informative changes. Second, assuming that the three lineages did not undergo a bifurcation, the time between dichotomous splits is small. Suffice it to say that all subsequent molecular data have confirmed these original observations. It is not our purpose to review the rather large literature on the subject here; interested readers are referred to several recent reviews: Andrews and Martin (1987), Bishop and Friday (1985), Holmquist et al. (1988a), Ruvolo and Pilbeam (1986), and Sibley and Ahlquist (1987).

In addition to the three species just mentioned, Hominoidea includes the pygmy chimpanzee (*Pan paniscus*), the orangutan (*Pongo pygmaeus*), and the gibbons (genus *Hyalohylobates*; sometimes split into a second genus, *Sympalangus*). A consensus has been reached, based on molecular, morphological, and fossil evidence, as to the general phylogeny of the group. Humans and the African apes form one lineage, with the closest relatives being orangutans, followed by gibbons; the cercopithecines (Old World monkeys) are acknowledged to be the extant sister group of Hominoidea (for an alternative view of the placement of the orangutan, see Schwartz [1984]). The bulk of the controversy, therefore, has centered around the phylogeny within the clade containing humans and African apes.

The technique we have used to assess the degree of DNA divergence among hominoids is DNA-DNA hybridization. This technique has been applied to hominoids previously, with the result that a trichotomy among human-chimpanzee-gorilla (combining the common chimpanzee and the pygmy chimpanzee) could not be rejected (Hoyer et al., 1972; Benveniste and Todaro, 1976; O'Brien et al., 1985) or that humans and chimpanzees are more closely related to each other than either is to gorillas (Sibley and Ahlquist, 1984, 1987). This latter result has been widely cited as well as challenged (Lewin, 1988a, 1988b). Because of the importance of this result, not just for anthropology but for molecular systematics in general, we chose to re-study this group using a different technique to measure the thermal stability of DNA duplexes.

TABLE 1. Sources of DNA used in this study; details available upon request.

Abbreviation	Description	Source
<i>Hom sapiens:</i>		
HU1	blood from adult male	—
HU2	blood from adult female	—
<i>Pan paniscus:</i>		D. Janssen; San Diego Zoo A. Shima; San Diego Zoo
PP1	blood from 8-year-old male	
PP2	blood from 7-year-old female (half sib of individual above)	
<i>Pan troglodytes:</i>		C. Allen; Yerkes O. Ryder; San Diego Zoo
PT1	blood from 11-year-old female	
PT2	DNA preparation	
<i>Gorilla gorilla:</i>		C. Sibley C. Allen; Yerkes N. Schaffer; Dallas Zoo C. Allen; Yerkes
GO1	DNA preparation ("chop" 2565)	
GO2	blood from 26-year-old female	
GO3	blood from female	
GO4	blood from 15-year-old female	
<i>Pongo pygmaeus:</i>		C. Sibley A. Shima; San Diego Zoo O. Ryder; San Diego Zoo
OR1	DNA preparation ("chop" 3123); presumed from Borneo	
OR2	blood from 27-year-old female from Borneo	
OR3	DNA preparation from Sumatran source	
<i>Hylobates lar:</i>		C. Sibley
HY1	DNA preparation ("chop" 2566)	
<i>Hylobates syndactylus:</i>		O. Ryder; San Diego Zoo
HY5	DNA preparation	
<i>Papio hamadryas:</i>		J. Rogers
BAB	DNA preparation from wild-caught adult female (central Tanzania)	

The technique of DNA-DNA hybridization is conceptually straightforward. It is based on the fact that the thermal stability of duplex DNA molecules is dependent upon the fidelity of base-pair matching: C with G, and A with T. The change in median melting temperature, the  $\Delta T_m$ , is the difference between the  $T_m$  of a homoduplex molecule (in which the two strands are from the same individual or species) and the  $T_m$  of the heteroduplex (in which each strand comes from a different source). Melting refers to the transition from double-stranded to single-stranded. In theory, the  $\Delta T_m$  should be linearly related to degree of base-pair mismatch (Britten et al., 1974), and indeed, we have empirically demonstrated this (Caccione et al., 1988b). We have used a different method to determine the thermal stability than that used by Sibley and Ahlquist (1984, 1987), who used the hydroxyapatite (HAP) method. We have used the so-called TEACL (tetraethylammonium chloride) method (Britten et al., 1978), which allows us to control for two factors other than base-pair mismatch that determine the thermal stability of DNA duplexes: these are the base composition and the length of the duplexes. Thus, while our results are based on the same principle, the actual technique of measuring the thermal stability of DNA duplexes is different. Therefore, strictly speaking, we did not replicate the Sibley and Ahlquist (1984, 1987) experiments; rather, we have tested whether independent measurements of the same parameter (the thermal stability of DNA molecules) yield the same results.

#### MATERIALS AND METHODS

The sources of the DNAs used in our experiments are given in Table 1. Of the 16 samples, nine were prepared by us from blood. Seven samples were total genomic DNA prepared by others and kindly given to us. Of these seven, three were supplied by C. Sibley and were used in the earlier experiments. Thus, we have used mostly independently obtained DNAs, with a few used in the previous studies.

The extraction procedure for blood samples was modified from a procedure provided by J. Rogers (Department of Anthropology, Yale University). Briefly, this

consisted of concentrating the whole blood cells by centrifugation in saline. The cells were lysed and subjected to prolonged digestion with proteinase-K, followed by repeated phenol-chloroform extractions. After ethanol precipitation, the samples were RNase-treated, ethanol-precipitated again, and resuspended in water. Concentration and purity were monitored by UV spectrophotometric readings. The sizes of the molecules were monitored by agarose-gel electrophoresis, both before and after sonication. DNA was randomly broken by sonication to a size of 500–800 bp.

The TEACL method of DNA-DNA hybridization is described in Britten et al. (1978), Hall et al. (1980), and Hunt et al. (1981). Minor modifications used in our laboratory, as well as our statistical procedures, are described in Caccone and Powell (1987) and Caccone et al. (1987). A few aspects of these procedures are worthy of emphasis here. First, all experiments were done using single-copy DNA (scDNA). This re-

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TABLE 2. All pairwise  $\Delta T_m$ 's ( $^{\circ}\text{C}$ ) with associated standard errors given in parentheses.

Driver	HUI	HU2	PP1	Tracers					BAB
				PT1	GO2	OR1	OR2	HYS	
HU1	—	—	1.66 (0.12)	1.62 (0.22)	2.40 (0.28)	3.42 (0.07)	3.27 (0.20)	5.13 (0.13)	6.57
HU2	0.31 (0.10)	—	1.62 (0.09)	1.82 (0.11)	2.53 (0.20)	—	—	—	—
PP1	1.79 (0.14)	1.51 (0.13)	—	0.97 (0.11)	2.75 (0.23)	—	—	—	—
PP2	1.55 (0.14)	1.36 (0.22)	0.37 (0.12)	0.32 (0.21)	2.73 (0.20)	—	4.86 (0.15)	—	—
PT1	1.37 (0.09)	1.92 (0.17)	1.03 (0.13)	—	2.39 (0.26)	3.72 (0.11)	2.96 (0.13)	4.52 (0.13)	—
PT2	1.42 (0.20)	1.39 (0.11)	—	-0.39 (0.19)	2.39 (0.20)	—	—	—	—
GO1	2.48 (0.19)	2.40 (0.10)	2.61 (0.07)	2.24 (0.16)	0.31 (0.23)	3.65 (0.13)	—	—	—
GO2	2.69 (0.20)	2.52 (0.25)	2.97 (0.11)	2.35 (0.16)	—	3.72 (0.10)	3.20 (0.13)	5.04 (0.19)	—
GO3	—	—	—	—	0.14 (0.23)	—	—	—	—
GO4	—	—	—	—	-0.30 (0.22)	—	—	—	—
OR1	3.63 (0.20)	3.63 (0.17)	—	—	3.44 (0.24)	—	0.05 (0.12)	5.11 (0.15)	—
OR2	—	—	3.76 (0.15)	3.17 (0.18)	3.86 (0.23)	0.35 (0.16)	—	—	—
OR3	—	—	—	—	—	1.22 (0.11)	0.84 (0.13)	4.86 (0.17)	—
HYL	4.95 (0.16)	—	4.90 (0.18)	4.48 (0.25)	5.32 (0.23)	—	4.77 (0.23)	1.26 (0.12)	7.23 (0.26)
HYS	—	—	4.76 (0.32)	4.44 (0.23)	4.92 (0.24)	4.80 (0.18)	4.74 (0.14)	—	—
BAB	6.99 (0.14)	—	7.43 (0.09)	6.58 (0.20)	7.12 (0.21)	—	7.33 (0.16)	6.75 (0.19)	—

melting is independent of base composition (Hunton and Wetmur, 1973; Orozco and Wetmur, 1977). Fifth, a sample of the DNA that was melted was reserved for size measurement on alkaline-agarose gels; from the resulting mean duplex size, a correction can be made in the  $T_m$ . See Hall et al. (1980) and Hunton et al. (1981) for details and for the theoretical and empirical justification for size correction. Sixth, the melting is done in a temperature gradient set up by placing aliquots in holes in an aluminum block. Thus, the raw data we obtained was in the form of a cumulative melting curve, rather than in terms of the amount of additional DNA melting at each temperature, as is the case with the HAP method.

The PHYLIIP computer program package (available from J. Felsenstein, Department

of Genetics, University of Washington, Seattle) was used in analyzing the results. Both the KITSCH program (which assumes contemporaneous tips) and the FITCH program (which does not) were used. In the present case, this is tantamount to assuming or not assuming that  $\Delta T_m$  is behaving as a molecular clock.

## RESULTS

Table 2 summarizes the results of all 77 pairwise comparisons we have performed; with replicates these represent a total of 417 median melting determinations. Table 2 presents the corrected  $\Delta T_m$ 's, which we designate with an uppercase "T." More detailed data for each determination of melting temperature are presented in the tables in the Appendix. In the Appendix, we pres-

ent the uncorrected  $T_m$ 's (designated by lowercase "t"), the numbers of replicates for each determination, the mean sizes of the duplexes, the corrections made for size, and the resulting  $T_m$ 's. We also indicate the percentage hybridization for each reassociation reaction. The standard errors presented in Table 2 were calculated as described in Caccione et al. (1987).

**Reciprocity.**—As noted in Table 2, each DNA preparation can be used as either a "tracer" or "driver." This refers to the fact that in making comparisons between two DNAs, one of the DNAs is labeled with radioactivity, which is used to trace the reassociation. The other source of DNA is not labeled and is in very high concentration in order to drive the reaction. In theory, it should not matter which species is used as tracer or driver; the degree of base-pair mismatch should be the same. Among our comparisons, there are 17 reciprocal combinations, i.e., 17 cases in which each source of DNA was used as tracer and driver in separate experiments. As can be seen in Table 2, reciprocity holds very well. For example, when the tracer prepared from pygmy chimpanzee PP1 is reassociated with the two human DNAs, the  $\Delta T_m$ 's are  $1.66 \pm 0.12$  and  $1.62 \pm 0.09$ . When this same PP1 DNA is used as a driver with the two human tracers, the  $\Delta T_m$ 's are  $1.79 \pm 0.14$  and  $1.51 \pm 0.13$ . Over all 17 cases, the mean difference between reciprocals is 0.21. The standard errors associated with each  $\Delta T_m$  are generally between 0.1 and 0.2, with an overall mean of 0.17 (for all the data in Table 2). Therefore, the average difference between reciprocals (0.21) is not significantly different from zero. In fact, of the 17 cases of reciprocals, only one (that involving OR2 and GO2) has  $\Delta T_m$ 's differing by more than two standard errors. This internal consistency of the data is good evidence that no major artifacts have been introduced by experimental procedures.

**Tracer Lengths and Temperature Corrections.**—Longer duplexes require higher temperatures to dissociate than do shorter duplexes, if the duplexes have the same base composition and degree of base matching. This effect can be taken into consideration if the mean duplex lengths are known. The method to correct the median melting term-

perature has both a theoretical and an empirical basis (see Hall et al., 1980). We have used the formula presented in Hunton et al. (1981) to correct our  $T_m$ 's to obtain  $T_m$ 's. A test to lend credence to the length correction is to compare reciprocals. If the length correction is legitimate, then the difference between reciprocal  $\Delta T_m$ 's should be larger than the differences between  $\Delta T_m$ 's. This is the case. As just mentioned, the mean difference between reciprocal  $\Delta T_m$ 's for the 17 cases in the present data set is 0.21; for these same cases, the mean difference in  $\Delta T_m$  is 1.44. We have previously shown a similar phenomenon in our data for crickets and *Drosophila* (Caccione and Powell, 1987; Caccione et al., 1988a).

The mean  $\Delta T_m$  difference between reciprocals (1.44) is higher than desirable. However, this figure is inflated due to the fact

that one tracer (HU2) produced hybrids that were consistently smaller than generally observed and, therefore, larger length corrections were required. We emphasize that the tracer lengths reported in the Appendix were determined after a nuclease-S1 digestion of the reassociation reactions; these are not the lengths of the tracers during the reassociation reaction. Any overhanging ends and loops due to insertions or deletions will be removed by the S1 treatment, and this will shorten the tracer lengths. Thus, in the TEACL method, "trimmed up" duplexes are melted. This is not the case with the HAP method.

Generally, we view with suspicion any hybrids with tracer lengths of less than 100 bp after S1 digestion. We only use the results from such hybrids if they are verified by reciprocal or DNA preparations of the same species or individual. This is the case with the present data, and therefore we have not discarded any data. Even if the data based on hybrids of less than 100 bp were disregarded, there would be no significant change in our conclusions.

**Percentage Reassociation.**—As mentioned in the Materials and Methods section, the reassociation reaction was performed under conditions requiring about 75% or greater base-pair match to form stable duplexes. If there are scDNA sequences that have diverged beyond this stringency requirement or if insertions/deletions have

Table 3. Cases for which replicate reassociation reactions were performed. Abbreviations for the species are as in Table 1; other abbreviations:  $N$  = number of replicate  $t_m$  determinations;  $t_m$  = mean uncorrected median melting temperature; SE = standard error of  $t_m$  and  $T_m$ ;  $T_{m\text{corr}}$  = temperature correction;  $T_m$  = corrected median melting temperature. Hybrids are indicated as tracer-driver.

Species pair	Replicate	$N$	$t_m$	SE	Tracer length (bp)	$T_{m\text{corr}}$	$T_m$	Percentage reassociation
HUI-HU1	A	5	56.44	0.14	167	2.99	59.43	53.3
	B	5	56.65	0.13	172	2.91	59.56	62.2
	C	5	56.57	0.13	177	2.82	59.49	70.6
GO2-OR2	A	4	51.93	0.15	272	1.84	53.77	58.0
	B	4	51.58	0.18	266	1.88	53.46	69.7
PP1-PP1	A	5	56.03	0.07	168	2.98	59.01	65.8
	B	5	56.30	0.08	171	2.92	59.22	64.8
PP1-HU1	A	5	54.78	0.11	175	2.86	57.64	62.2
	B	5	54.62	0.14	188	2.66	57.28	61.0

occurred, parts of the tracer genome will not reassociate. The lowering of the percentage reassociation of heteroduplex reactions compared to homoduplex reactions is called the normalized percentage reassociation (NPR) by us (=normalized percentage hybridization [NPH] of other authors). This is simply the percentage heteroduplex reassociation divided by the corresponding percentage homoduplex reassociation. We determined the percentage of the tracer that had reassociated by a nuclease-S1 treatment after the reaction had come to equilibrium. As we have noted elsewhere (Caccone and Powell, 1987; Caccone et al., 1988a), this method of determining percentage reassociation is associated with significant error. We present the percentage reassociation for each reaction in the Appendix. The problem with this measure is highlighted by the cases in which we made replicate associations and determined the  $T_m$ 's for each. These are presented in Table 3. As can be seen, the  $t_m$ 's, tracer lengths, and  $T_m$ 's are all highly replicable, stable parameters. However, the percentage reassociation, as measured in our procedures, is not reliable.

Note that some of the data in Table 3 are for homoduplexes and thus would affect all NPR estimates for those tracers. In the Appendix, we present the mean parameters for these separate experiments. Because of the nonreproducibility of NPR, we will only consider  $\Delta T_m$  in our further analysis. We use  $T_m$  to refer to the median melting temperature of that fraction of the genome that hybridized. This is in contrast to  $T_{50}$ H (Sib-

ley and Ahlquist, 1984, 1987),  $T_{m\text{corr}}$  (Britten, 1986), or  $T_m^{\text{R}}$  (Benveniste, 1985), as used by other authors to incorporate percentage hybridization into the measures. We will treat the issue of incorporating NPR into the distance measure in the Discussion. Suffice it to say, this issue makes little or no difference for determining phylogenetic relationships.

**Intraspecific Variation.**—An obvious question in evaluating differences between species concerns the magnitude and effect of intraspecific variation. We have studied DNA prepared from two humans, two common chimpanzees, two pygmy chimpanzees, four gorillas, and three orangutans. There are two ways to assess possible effects of intraspecific variation on interspecific differences. First, one can directly compare individuals of the same species. We made eight intraspecific hybrids; this does not include hybrids between the Sumatran and Borneo orangutan (OR3 with OR1 or OR2). Of these, six have positive  $\Delta T_m$ 's and two have negative  $\Delta T_m$ 's. Of the eight intraspecific  $\Delta T_m$ 's, three are different from zero by more than two standard errors: HU1-HU2, PP1-PP2, and PT1-PT2, this last being a negative value (throughout this paper, when we refer to hybrids, we separate species abbreviations [Table 1] with a hyphen, with the tracer given first [tracer-driver]). In one case, the two individuals are half-sibs (PP1-PP2; see Table 1). Our overall assessment is that DNA-DNA hybridization may be detecting some degree of intraspecific polymorphism; however, it is difficult to conclude this de-

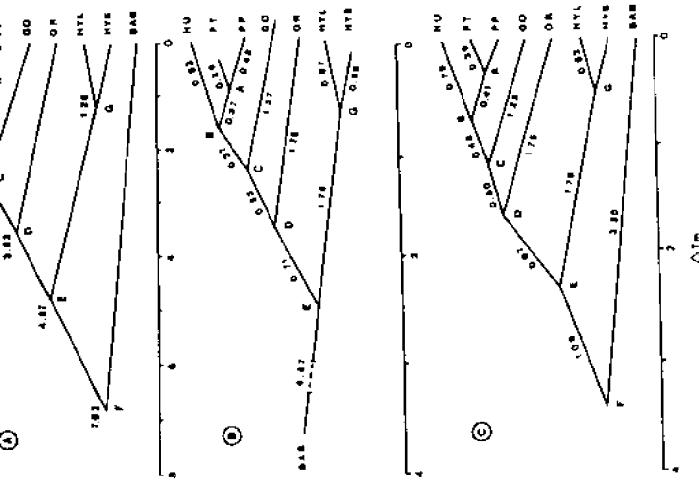


Fig. 1. Dendograms based on three different algorithms: A) UPGMA (Sokal and Michener, 1958) and B) Fitch and Margoliash (1967) tree, not assuming contemporaneous tips; C) Fitch and Margoliash (1967) tree, assuming contemporaneous tips. In tree B, HU (human) was placed at zero, with all branch lengths proportional to the horizontal scale; vertical distances are arbitrary. This tree was "rooted" using the baboon (BAB) as the outgroup. Tree A has the  $\Delta T_m$ 's associated with each node indicated, while trees B and C have generic theta trees were the means in Table 4.

indicate with very high statistical confidence that these nodes are significantly different from one another. Thus, our results clearly support the previous conclusion reached by Sibley and Ahlquist (1984, 1987) that humans and chimpanzees are more closely related to one another than either gorillas. The rest of the trees are in perfect agreement with the general consensus: orangutan is the next closest rel-

TABLE 4. Mean  $\Delta T_m$ 's between species. These are the values used in the construction of the trees in Figure 1.

Species	Species					BAB	
	PP	PT	GO	OR	HYL		
<i>Homo sapiens</i> (HU)	1.58	1.59	2.50	3.49	4.95	5.13	6.78
<i>Pan paniscus</i> (PP)	—	0.77	2.76	3.76	4.90	4.76	7.43
<i>P. troglodytes</i> (PT)	—	—	2.34	3.28	4.48	4.48	6.58
<i>Gorilla gorilla</i> (GO)	—	—	—	3.57	5.32	4.98	7.12
<i>Pongo pygmaeus</i> (OR)	—	—	—	—	4.77	4.88	7.33
<i>H. troglodytes</i> (HYL)	—	—	—	—	—	1.26	7.21
<i>H. syndactylus</i> (HY5)	—	—	—	—	—	—	6.74

ative, followed by gibbons, with the cercopithecines being the sister taxa of the Hominoidea.

**Other Taxa.**—There are two points not involving the relationships among humans, chimpanzees, and gorillas that are worth mentioning. First is the degree of genetic differentiation between the siamang, *Hylobates syndactylus*, and the common gibbon, *H. lar* (HY5 vs. HYL in the tables). As can be seen, these species are more genetically distinct than the two named species of chimpanzees but somewhat less genetically different than are humans and chimpanzees.

A second question concerns the genetic distinctness of the populations or subspecies of orangutans that are native to Sumatra and Borneo. We do not know the origin of OR1, although it is thought to have come from Borneo (C. Sibley, pers. comm.).

We do know that OR2 is from Borneo and that OR3 is from Sumatra. Assuming that OR1 is from Borneo, we have measured differences between the populations at  $\Delta T_m$ 's of  $1.22 \pm 0.11$  and  $0.84 \pm 0.13$  (Table 2).

This indicates that the differentiation of these studies is that this relationship is linear at least over the range we studied, which was up to 7.2% mismatch. This is strong empirical evidence that the technique measures sequence divergence, that it is accurate, and that it is linear. This empirical result is in accord with a large body of theory reviewed in Britten et al. (1974).

Given that the technique works, what is the best statistic to describe the results? Three measures have been presented in the literature. One is the change in median melting temperature of the portion of the genomes that hybridized (the  $\Delta T_m$  presented here). Other workers have incorporated the portion of the tracer genome that is nonbinding to HAP, while with TEACL it is nuclelease-S1 sensitivity. We have shown

these populations is about that measured between the different named species of chimpanzees. Certainly these populations are more genetically distinct than any of the other intraspecific pairs we have measured in the Hominoidea.

#### DISCUSSION

**Technical Considerations.**—Before proceeding to a more general discussion of these results, we discuss some technical aspects. The first point to be considered is the accuracy with which the thermal stability of DNA molecules reflects the actual degree of base-pair mismatch. We have studied this problem in a direct manner by DNA-DNA hybridization of cloned DNA fragments of known sequence and, thus, with known degrees of base-pair mismatch (Caccone et al., 1988b). Our results showed a remarkable correspondence between  $\Delta T_m$  as measured by our TEACL technique and the percentage of base-pair mismatch: the correlation between  $\Delta T_m$  and base-pair mismatch is  $0.985 \pm 0.005$ . The second important result from these studies is that this relationship is linear at least over the range we studied, which was up to 7.2% mismatch. This is strong empirical evidence that the technique measures sequence divergence, that it is accurate, and that it is linear. This empirical result is in accord with a large body of theory reviewed in Britten et al. (1974).

Given that the technique works, what is the best statistic to describe the results? Three measures have been presented in the literature. One is the change in median melting temperature of the portion of the genomes that hybridized (the  $\Delta T_m$  presented here). Other workers have incorporated the portion of the tracer genome that is nonbinding to HAP, while with TEACL it is nuclelease-S1 sensitivity. We have shown

(Caccone et al., 1988b fig. 3) that for the same taxa, these two methods give somewhat different results (see also Hall et al. [1980]). The percentage reassociation is consistently smaller with the nuclelease-S1 treatment, as this trims up overhanging ends and cuts out insertion/deletion differences. The somewhat arbitrary nature of determining the normalized percentage reassociation is due to the fact that it can vary, depending upon the stringency of the hybridization conditions and the conditions of digestion with nuclelease-S1. This is shown in Benveniste (1985 table 6) for some of the same primates used in the present study. An important point in Benveniste's results is that, while the NPR varies as the conditions of hybridization vary, the  $\Delta T_m$  does not. We will return to this point later. For now, it is sufficient to point out that, unless the percentage reassociation is determined under highly controlled conditions, using the same reassociation criteria, and using the same method to quantify reassociation, it is impossible to compare results from study to study. Thus, we are unable to convert our  $\Delta T_m$ 's into  $T_{50}H$ 's in order to compare them with the  $\Delta T_{50}H$ 's of Sibley and Ahlquist (1984, 1987). The remarkable congruence of our  $\Delta T_m$ 's and Sibley and Ahlquist's (1984, 1987)  $\Delta T_{50}H$ 's (Table 6) is probably due to the fact that, in Sibley and Ahlquist's study, the NPR contributed relatively little to increasing  $\Delta T_m$ 's to generate  $\Delta T_{50}H$ 's. From a partial data set, Roy Britten (pers. comm.) has determined that the  $T_m$ 's and  $T_{50}H$ 's in the Sibley and Ahlquist (1984, 1987) data differ on average by only 0.2°C. Despite all of the above, we need to examine the percentages of reassociation in our present data in more detail. In our previous work on insects (Caccone and Powell, 1987; Caccone et al., 1988a) we also found that the normalized percentage of reassociation was not a reliable measure for any single experiment. However, when averaged over many experiments the normalized percentages of reassociation became stable for a given range of  $\Delta T_m$ , and the average  $\Delta T_m$  and average normalized percentage reassociation showed a remarkably linear relationship (Caccone et al., 1988a fig. 3). Our hominoid data do not present as clear a pattern. We suspect that there are

TABLE 5. Independent measures from Table 2 through each node indicated in Figure 1. With the exception of the values in bold type, there is no overlap among nodes.

Node	$\Delta T_m$
A	1.05, 0.97, 0.32
B	1.65, 1.62, 1.62, 1.82, 1.79, 1.51, 1.36, 1.37, 1.92, 1.42, 1.39
C	2.40, 2.53, 2.75, 2.73, 2.39, 2.29, 2.69, 2.48, 2.52, 2.40, 2.97, 2.61, 2.35, 2.24
D	3.42, 3.27, 3.72, 3.65, 2.96, 3.20, 3.63, 3.63, 3.76, 3.17, 3.44, 3.86
E	5.13, 4.86, 4.52, 5.04, 5.11, 4.86, 4.95, 4.90, 4.76, 4.48, 4.44, 5.32, 4.92, 4.80, 4.77, 4.74
F	6.57, 7.21, 6.99, 7.43, 6.58, 7.12, 7.33, 6.74

TABLE 6. Comparison of results from the present study with the results of Sibley and Ahlquist (1984, 1987) and those of Goodman and colleagues (Miyanoto et al., 1987; Goodman et al., 1989) for sequence data on the  $\gamma$ -globin gene. Species abbreviations are as in Table 4, except 1) the two species of chimpanzees are combined (CH) as are the two species of gibbons (GIB) and 2) CER = various species of cercopithecines used in the different studies. The 1984 data were reported to one decimal place; when averaged over more than one species, a second decimal place may appear.

Species (tracer-driver)	$\Delta T_m^H$		$\Delta T_m^H$ divergence (Mayr and Sibley and Ahlquist, 1984, 1987)		$\Delta T_m$ (this study)	$\Delta T_m$ (1987)
	1984	1987	1987	1987		
PT-PP	0.7	0.69	0.77	—	—	—
HU-CH	1.85	1.64	1.59	1.61	—	—
HU-GO	2.4	2.50	2.50	1.72	—	—
CH-GO	2.2	2.28	2.55	1.84	—	—
HU-OR	3.6	3.60	3.49	3.39	—	—
CH-OR	3.7	3.57	3.52	3.52	—	—
GO-OR	3.8	3.55	3.57	3.47	—	—
HU-GIB	5.2	4.76	5.04	—	—	—
CH-GIB	5.35	4.85	4.66	—	—	—
GO-GIB	5.4	4.69	5.15	—	—	—
OR-GIB	5.1	4.83	4.83	—	—	—
HU-CER	7.7	7.34	6.78	7.43	—	—
CH-CER	7.85	7.17	7.01	7.59	—	—
GO-CER	7.5	7.18	7.12	7.38	—	—
OR-CER	7.6	7.43	7.33	7.43	—	—
GIB-CER	7.4	7.05	6.98	—	—	—

(Caccone and Powell, 1987). This step removes degraded products and possibly some contaminants. 2) This reassociated fraction is then transferred to 2.4 M TEACL, further diluting any contaminants and homogenizing the final preparation on which the  $T_m$  is determined.

The next point we consider is the temperature correction due to differences in tracer lengths. Previous experiments and theoretical considerations have established that the relationship between the melting temperature and the length of the duplex can be expressed as observed  $T_m + B/L$  where  $L$  is the length of the duplexes and  $B$  is a constant for the conditions of melting (Grothues et al., 1965; Hayes et al., 1970; Hall et al., 1980) determined empirically that in 2.4 M TEACL,  $B = 500$ . This was done by melting restriction fragments of known length. In addition to this justification for correction for fragment length, our own data indicate further justification. First, as pointed out in the Results and in our previous studies (Caccone and Powell, 1987; Caccone et al., 1988a) reciprocals are in much better agreement after temperature correction than before. Second, in our study of known base-pair mismatch and  $\Delta T_m$ 's (Caccone et al., 1988b) our corrected  $\Delta T_m$ 's give a much better correlation with base-pair mismatch than do uncorrected  $\Delta T_m$ 's. Thus, keeping in mind that what we want to measure is base-pair mismatch, this last fact should be ample justification for using the tracer-length temperature correction; in association with the previous empirical and theoretical studies, the evidence in favor of the correction is overwhelming.

Next, we consider the possibility of complications due to paralogous sequences, sequences which are homologous but which exist in the same genome, presumably due to gene duplication. We doubt that this is a serious problem with DNA-DNA hybridization. First, in dealing with reasonably closely related taxa, such as the hominoids, one would expect that most gene duplications occurred before the separation of the taxa. Thus, if there is a complication due to paralogous hybridization, the effect should be the same for homoduplex and heteroduplex reactions; the  $\Delta T_m$  would be unaffected. Another consideration is that, if the

percentage reassociation should disappear. In our data set, ten of the DNA preparations were prepared the same way and were not stored for extended periods. These are the nine preparations prepared by us and the baboon DNA supplied by J. Rogers (Table 1). If we consider only the reassociation reactions in which these DNAs were drivers, we obtain the results in Figure 2. Benveniste (1985 fig. 2) presents these same data for primates and carnivores, and we include his linear relationship in our Figure 2. As can be seen, this set of "cleaner" data is in good agreement with the previous work on mammals. It is important to note that the Benveniste (1985) results are for nuclease-S1 resistance, as are ours.

While different sources of driver DNA may affect the normalized percentage reassociation, this factor does not seem to affect  $\Delta T_m$ 's. As noted previously, Table 2 indicates that, whether the driver DNA was prepared by us relatively recently or was obtained from various sources and had been stored for considerable time (in some cases, years), the resulting  $\Delta T_m$ 's are quite homogeneous. This is another argument in favor of using  $\Delta T_m$  as the measurement of distance. We suspect that the stability of  $T_m$  in our system is due to two factors. 1) In determining the percentage reassociation, the sample is treated with nuclease-S1 and then passed over a sephadex G-100 column

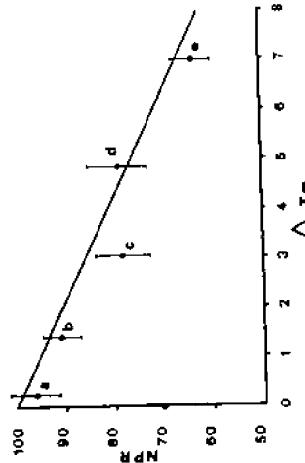


FIG. 2. Relationship between normalized percentage reassociation (NPR) and  $\Delta T_m$  for selected data; see text for explanation. Definitions of points: a = the mean of all intraspecific hybrids; b = the mean for interspecific hybrids up to  $\Delta T_m$  of 2; c = the mean over the range of 2-4; d = the mean over the range of 4-6; and e = the mean over the range of 6-8. Bars indicate two standard errors of the mean. The line is that obtained by Benveniste (1985), using the nuclease-S1 criterion for primates and other mammals.

duplications predate the splitting, even though paralogous pairing may occur in the orthologous pairing would be thermodynamically favored. If, on the other hand, the paralogous sequences were highly similar to one another, then they would have like true repetitive sequences and be removed in the tracer preparation. Finally in this context, we note again the results of Benveniste (1985), showing that one can increase the stringency of reassociation but not lower the percentage reassociation but not affect the  $\Delta T_m$ . It is possible that some of the lowering of the percentage reassociation is due to relatively weakly pairing paralogous sequences; but, if this fraction is removed from homoduplex and heteroduplex reactions to the same extent, the  $\Delta T_m$  is unaffected.

There are a few other technical aspects concerning DNA-DNA hybridization that have been questioned (e.g., Marks et al., 1988). First, we used a low-energy radioactive isotope (tritium), so damage due to high-energy disintegration is not a problem. Second, we used a labeling procedure that does not harm the DNA and that specifically labels only single-stranded DNA. Thus, any double-stranded DNA that might have passed through the hydroxyapatite column would not be labeled. Third, we have taken into consideration both the tracer length and

two problems. First, mammalian genomes are about 30 times the size of insect genomes, so that reassociation kinetics are much more complex. Thus, accurate measurement of percentage reassociation is more difficult. A second problem, which we can actually detect in the data, is that our DNA preparations came from various sources using different purification procedures; this was not the case in our insect studies. For example, the samples from C. Sibley were stored in 0.48 M phosphate buffer; phosphate is a strong inhibitor of nuclease-S1. We attempted to remove the phosphate by dialysis and ethanol precipitation but may have been variously successful from sample to sample. Also, because the DNAs were in different concentrations, different hybrids required different lengths of incubation for the reassociation reaction; this varied from three to ten days. If various preparations contained different contaminants that slow-

the effect of base composition. Thus, our procedures have taken into account virtually all of the criticisms aimed at the HAP technique, specifically as applied by Sibley and Ahlquist (1984, 1987). Since we obtained results nearly identical to those of Sibley and Ahlquist when we studied the same taxa, it would seem that these possible technical problems do not significantly affect the results. Furthermore, we argue that the similarity of our results to those previously obtained not only reinforces the conclusions concerning the phylogeny of the particular group studied, but also increases confidence in the technique itself: independent studies of the same taxa yield nearly identical results.

Finally in this section we discuss statistical procedures. The analysis of data generated by DNA-DNA hybridization is far from straightforward, primarily because there are inevitable correlations in the data matrix. This is due to the fact that a single homoduplex  $T_m$  determination is used in a number of  $\Delta T_m$  determinations. Thus, not all  $\Delta T_m$ 's in a matrix such as in Table 2 are truly independent. Felsenstein (1987) has dealt with this problem in some detail for Sibley and Ahlquist's (1987) results and concluded that the topology claimed (the same as in our Fig. 1) was statistically defensible. Because our data are so similar to Sibley and Ahlquist's, they would almost certainly be at least as statistically robust. We have used more individuals, which would represent a greater number of truly independent measures. Because our data are so clear, with virtually no overlap in measurements between adjacent nodes (Table 5), we have simply applied conservative tests such as the Mann-Whitney U test and obtained results indicating that the distributions in Table 5 are highly significantly different from one another. We have studied the distribution of the errors associated with our  $T_m$  determinations and found them not to deviate from a normal distribution, indicating that parametric tests would probably be valid for our data. These would only be more powerful and would support the results of the nonparametric tests.

#### *General Considerations.* —

Virtually all molecular phylogenetic studies, including

the phylogenetically continuous trait that is least affected by selection. This is not to imply DNA is not subject to any selective forces, which would be an absurd view. Rather, compared to proteins, morphology, physiology, etc., DNA is less exposed to selection on average, because it is furthest removed from the phenotype. Characters more exposed to selection can be misleading in phylogenetic reconstruction, due to convergence and parallelism. Third, because of the large genome sizes of eukaryotes, DNA can have virtually an infinite number of character states. With four possible bases at each of millions or billions of positions, the complexity and information content of DNA are enormous. We recognize that DNA also undergoes insertions and deletions and that back mutations are not unlikely, since there are only four bases. However, while these complicating factors must be considered, they do not negate the favorable properties of DNA. (For an argument that amino-acid sequences may be more accurate if one wishes to invoke a molecular clock, see Zuckerkandl [1987].)

Given the problematic situation posed by the human and African ape lineage, what approaches to DNA studies are most useful for clarifying the relationships? As pointed out in the Introduction, the problems are that the lineages split fairly closely in time and that they did so quite recently. In order to increase the phylogenetically informative changes, two strategies are possible. First, one could study a large portion of the genome that is evolving unusually fast. Both approaches have been used in the hominoids, and they arrive at the same conclusion.

The first approach, to study a large portion of the genome, is the strategy of DNA-DNA hybridization, which measures DNA divergence over virtually the total single-copy genome. This method has been applied in an extensive manner to hominoids using two different techniques, the HAP technique used by Sibley and Ahlquist (1984, 1987) and the TEACL technique reported here. Table 6 compares the outcome of these studies. Clearly, there is remarkable

agreement between the two sets of HAP data and the TEACL measurements. This shows that when the technique of DNA-DNA hybridization is applied to the same taxa, even similar results are obtained. We discussed above the matter of the different measures reported in Table 6 and concluded that this is a moot point. These two measures are linearly related, and the two sets of data display identical relative distances. All phylogenetic reconstructions based on either set yield identical branching patterns and virtually identical branch lengths.

The approach used to study a particularly rapidly evolving section of the genome has been Goodman and colleagues' study of the pseudogene for the  $\gamma$ -globin (abbreviated  $\gamma\gamma$ -globin) of the  $\beta$ -globin cluster (Miyamoto et al., 1987, 1988; Goodman et al., 1989). This region is rapidly evolving, because it is probably under no selective constraints and, thus, is an ideal candidate for the problem presented by the hominoids. Table 6 includes a summary of the results. Again, good agreement is evident as to the relative divergence of the taxa; good absolute agreement is obtained if one assumes that  $\Delta T_m$  is converted to percentage base-pair mismatch in a 1:1 manner. The one aspect of the  $\gamma$ -globin data that differs somewhat from the hybridization results concerns the difference between humans and gorillas and that between chimpanzees and gorillas, crucial measurements for the splitting of the trichotomy. Fortunately, because sequence data are richer in information than are distance data generated by hybridization studies, they can be further analyzed using a variety of techniques. When this was done with these data, the phylogeny most favored is the same as that favored by results from DNA-DNA hybridization (Miyamoto et al., 1987, 1988; Goodman et al., 1989). In a parsimony analysis, breaking the association of humans and chimpanzees requires eight additional mutations. A cladistic analysis indicates that there are 11 synapomorphies favoring human-chimpanzee, while only three favor either human-gorilla or chimpanzee-gorilla as the most closely related pair. Felsenstein's (1981) likelihood method indicates that the human-chimpanzee association is nine times more likely

than the alternative two associations. Similar analyses of the sequence data for the other taxa strongly support the consensus phylogeny.

Another source of rapidly evolving DNA sequences is mitochondrial DNA (mtDNA). Several initial analyses of mtDNA data were unable to reject the trichotomy for human-chimpanzee-gorilla (reviewed in Holmquist et al. [1988a]). However, more recent analyses using a variety of statistical models have tended to support the human-chimpanzee association. These include the Markov model of Lanave et al. (1986), the Markov-maximum-likelihood model of Hasegawa et al. (1985), and the "Lake method" (Holmquist et al., 1988b).

If, as argued by Zuckerkandl (1987), amino-acid replacements provide a better "clock" than DNA base changes, it is of interest to inquire what evidence may be obtained from protein sequences. Goodman et al. (1983, 1989) have reviewed the evidence from nine protein chains that have been sequenced in both humans and chimpanzees and noted 0.4% divergence for the 1,271 amino-acid positions. Somewhat fewer data are available for gorillas, but the average difference between gorillas and either humans or chimpanzees is 0.7%. It is interesting to note that the relative distances indicated by these amino-acid data and the DNA-DNA hybridization data are nearly the same. The ratios of the distance between human and gorilla to the distance between human and chimpanzee are 1.7 and 1.6, respectively.

On technical or statistical grounds, one may question the strength of any single source of molecular data bearing on the hominoid problem. However, there is a clear consensus emerging as more data accumulate. The data from DNA-DNA hybridization clearly support the human-chimpanzee hypothesis. If one discounts these two traits, the remaining morphological evidence is certainly compatible with the molecular data walking in the ancestors of the lineage containing humans and African apes, a testable hypothesis. If one discounts these two traits, the molecular-clock hypothesis can be invoked to use molecular data to put absolute times on lineage splits. We do not intend to discuss this in detail, as an enormous literature exists. We wish, however, to make two points. First, given that our

results are so similar to those previously obtained by Sibley and Ahiquist (1984, 1987) and that Felsenstein's (1987) statistical analysis could not reject the molecular-clock hypothesis for their data, the same is likely to be true for our results. This can be seen in Figure 1B. This tree was built placing *Homo* at zero, without assuming the molecular clock. If the clock were perfect and our data were perfect, all tips would line up on zero. This is indeed the case, with the possible exception of tips representing the gorilla and the pygmy chimpanzee. However, the distance between these species is small and would not present a serious challenge to the overall clocklike nature of the data set. This result stands in contrast to that obtained from protein-coding regions which appear to have undergone a slowdown in the lineage containing humans and African apes (Goodman et al., 1983, 1989; Li and Tanimura, 1987). Results from DNA-DNA hybridization experiments pertinent to the total single-copy genome, most of which is not coding for protein sequences, are not yet available.

The second point is that the paleontological data are compatible with the clocklike nature of our results. The two best established dates within the hominoids are for their split from the cercopithecines, placed at 25–30 million years ago, and the divergence of orangutans, 12–16 million years ago (Gingerich, 1984; Pilbeam, 1986). Our data for the distance from baboons to the hominoids ( $\Delta T_m = 6.78$ ) is almost exactly double the distance at which orangutans diverged ( $\Delta T_m = 3.53$ ). Humans split from chimpanzees at just under half the distance, as did orangutans; thus, if the clock remains linear, our data indicate that humans diverged from chimpanzees about 6–8 million years ago.

Initial attempts to apply a molecular clock

then, a reassessment of the fossil record has led anthropologists to estimate a more recent date, and as more molecular data have become available, the molecular-clock estimates have led to an older date, such that the two sets of data are now compatible (Ruvolo and Pilbeam, 1986).

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