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## The Neuropharmacology of the Ketogenic Diet

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### Abstract

The ketogenic diet is a valuable therapeutic approach for epilepsy, one in which most clinical experience has been with children. Although the mechanism by which the diet protects against seizures is unknown, there is evidence that it causes effects on intermediary metabolism that influence the dynamics of the major inhibitory and excitatory neurotransmitter systems in brain. The pattern of protection of the ketogenic diet in animal models of seizures is distinct from that of other anticonvulsants, suggesting that it has a unique mechanism of action. During consumption of the ketogenic diet, marked alterations in brain energy metabolism occur, with ketone bodies partly replacing glucose as fuel. Whether these metabolic changes contribute to acute seizure protection is unclear; however, the ketone body acetone has anticonvulsant activity and could play a role in the seizure protection afforded by the diet. In addition to acute seizure protection, the ketogenic diet provides protection against the development of spontaneous recurrent seizures in models of chronic epilepsy, and it has neuroprotective properties in diverse models of neurodegenerative disease.

### Introduction

Since the early 1920s, the ketogenic diet has been used successfully to treat patients with intractable epilepsy. The diet is high in fat and low in carbohydrate and protein, providing sufficient protein for growth but insufficient amounts of carbohydrates for all the metabolic needs of the body [1]. Energy is derived largely from fatty acid oxidation in mitochondria. During high rates of fatty acid oxidation, large amounts of acetyl-CoA are generated, leading to the synthesis, primarily in the liver, of the three ketone bodies  $\beta$ -hydroxybutyrate, acetoacetate, and acetone (Fig. 1). The metabolic efficiency of the Krebs cycle is reduced, and excess acetyl-CoA is shunted to the production of ketone bodies. Ketone bodies spill into the circulation, causing serum levels to rise severalfold, and then are utilized as an energy source in extrahepatic tissues, including the brain.

Glucose is ordinarily the sole fuel for the human brain; fatty acids cannot be used because they do not cross the blood-brain barrier. Ketone bodies do enter the brain, in proportion to the degree of ketosis. Ordinarily, utilization of ketones by the brain is minimal. During the ketogenic diet, however, ketone bodies partly replace glucose as fuel for the brain. The ketone bodies are converted to acetyl-CoA by D- $\beta$ -hydroxybutyrate dehydrogenase, acetoacetate-

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succinyl-CoA transferase, and acetoacetyl-CoA-thiolase and then enter the Krebs cycle within brain mitochondria, leading to the production of adenosine triphosphate (ATP) (Fig. 2).

It has been known since the time of Hippocrates that fasting is an effective treatment for seizures, and the ketogenic diet was designed to mimic the fasting state [2]. However, despite intensive research in recent years, the mechanism by which the diet protects against seizures remains obscure. The diet is associated with a wide range of neurochemical changes, some of which may contribute to its therapeutic actions and others that are epiphenomenal. In a sense, the state of knowledge of mechanisms for the ketogenic diet is similar to that for many anticonvulsant medications; that is, a diversity of pharmacological actions have been described, but it is often a challenge to create a definitive link between any specific action and seizure protection [3]. Ultimately, the link is made by a consideration of the overall profile of the cellular actions of the drug as well as the results of testing in diverse animal seizure models [4].

Here, we examine the known metabolic and physiological actions of the ketogenic diet in the brain that could be relevant to its protective activity against seizures and we summarize the available information on the actions of the diet in animal seizure models. We then compare the profile of the diet with the profiles of the major antiepileptic drugs, to assess whether the underlying mechanism of action of the diet is likely to be similar to or different from that of any of the drugs.

Although far from proven, there is emerging evidence that the diet may also have disease-modifying actions in epilepsy and may confer neuroprotection in animal models and clinical states in which there is death of neurons [5]. We therefore also consider actions of the diet that could be relevant to these additional, and potentially important, actions of the diet.

## Clinical Aspects of the Ketogenic Diet Relevant to its Mechanism

Today, several types of ketogenic diets are used for epilepsy treatment. The most frequently used is the traditional ketogenic diet originally described by Wilder in 1921, which is based on long-chain saturated fats and incorporates a low percentage of protein and carbohydrate [6]. The protocol, as applied at the Johns Hopkins Hospital, consists of fat in a 4:1 ratio with respect to protein and carbohydrate combined. In the 1950s, a medium-chain triglyceride diet was introduced, which was thought to be more palatable [7]. Although this diet is easier to prepare and produces greater ketosis (because the fats used, decanoic and octanoic acids, yield more ketones per calorie), it has not been widely accepted because it is associated with bloating and abdominal discomfort. A third variation on the diet, developed at the John Radcliffe Hospital in Oxford, England, represents a combination of the traditional and medium-chain triglyceride diets [8]. Despite some differences in their ability to generate ketones, all three diets have similar efficacy; the Hopkins protocol has been the most widely studied.

In the Johns Hopkins protocol, patients are admitted to the hospital and fasted for about 24 hours before beginning the diet [9]. On occasion, there is immediate improvement in seizure frequency or severity after the initial fast, and some patients do not show an improvement until a few weeks later [10]. In addition to the acute beneficial effects on seizures, many patients experience a long-term decrease in seizure frequency even after the ketogenic diet has been stopped [5,10,11]. Factors such as seizure duration, electroencephalographic characteristics, and demographic factors do not appear to predict clinical response [10,12].

## Do Ketone Bodies Mediate the Effects of the Ketogenic Diet?

Early in the study of the ketogenic diet, it was noted that levels of the ketone bodies  $\beta$ -hydroxybutyrate, acetoacetate, and acetone are elevated in the peripheral blood and in the urine.

Levels of  $\beta$ -hydroxybutyrate are easily assayed spectrophotometrically or using commercially available test strips, and urine levels are used to monitor the degree of ketosis during therapy. In neocortical neuron slices, the ketone bodies  $\beta$ -hydroxybutyrate and acetoacetate prevent cell injury induced by the oxidative stressors hydrogen peroxide and the thiol oxidant diamide [13]. These ketone bodies also inhibit glutamate-induced mitochondrial reactive oxygen species generation [14]. Oxidative stressors may contribute to the development of epilepsy (reviewed in [15]). Therefore,  $\beta$ -hydroxybutyrate and acetoacetate may prevent neuronal damage from free radicals and confer neuroprotective (and possibly antiepileptogenic) effects. However, the link between ketone bodies and the anticonvulsant efficacy of the ketogenic diet is not as clear. Studies of the effects of the ketogenic diet on pentylenetetrazol threshold in rats have failed to show an association between plasma  $\beta$ -hydroxybutyrate levels and seizure threshold [16]. Moreover, exogenously administered  $\beta$ -hydroxybutyrate is not anticonvulsant in animal models such as the Frings audiogenic seizure-susceptible mouse [17].

In contrast, acetoacetate and acetone do have anticonvulsant properties in animal models [18]. In rats, these metabolites are protective against seizures induced by pentylenetetrazol and AY-9944 (an inhibitor of the biosynthesis of cholesterol that induces slow spike-and-wave discharges and is proposed as a model of chronic atypical absence seizures). Acetone is also protective in the maximal electroshock test [19] and the amygdala kindling model [19]. In mice, acetone protects against clonic seizures induced by pentylenetetrazol, as is the case in rats, and it also is protective against tonic seizures induced by 4-aminopyridine [20]. It is also active against audiogenic seizures in the Frings mouse [17].

It has been proposed that acetone is directly responsible for the anticonvulsant activity of the ketogenic diet [18]. However, the overall profile of acetone in animal seizure models is distinct from that of the ketogenic diet (Table 1). In particular, unlike acetone, the diet does not protect against pentylenetetrazol-induced seizures in mice, does not have robust activity against tonic seizures induced by maximal electroshock or 4-aminopyridine, and does not have sustained efficacy in the rat kindling model [21,22]. These differences raise doubts about the hypothesis that acetone is responsible for the anticonvulsant activity of the diet, although it could be contributory.

Studies in brain slices have shown that acetone can suppress epileptiform discharges, albeit at high concentrations (W.D. Yonekawa, unpublished data). The molecular anticonvulsant mechanism of action of acetone in vivo or in vitro is not known. Because acetone is not easily assayed, there is little information on the levels present in animals or humans consuming the ketogenic diet. Measurements of cerebral acetone using  $^1\text{H}$ -magnetic resonance spectroscopy in children on the ketogenic diet have indicated that levels in some subjects may be in the range of 0.7 mmol/L and that seizures are well controlled when acetone is detected [23].

Breath acetone correlates with plasma ketone body measurements in children consuming a ketogenic diet [24] and, although it is assumed that acetone is eliminated mainly via pulmonary ventilation, some of it is metabolized further into other products, including acetol, 1,2-propanediol, methylglyoxal, and pyruvic acid. These metabolites are unlikely to contribute to the anticonvulsant effects of acetone in vivo [20].

Comparisons of the levels of acetone in brain during the ketogenic diet with those achieved by anticonvulsant doses of exogenously administered acetone will be necessary to assess whether the acetone hypothesis is plausible. In one study of rats consuming a ketogenic diet, blood levels of acetone were more than 10-fold lower than concentrations previously shown to be anticonvulsant [21].

## GABA Systems

GABA ( $\gamma$ -aminobutyric acid) is the major inhibitory neurotransmitter in mammals. Many anticonvulsant medications are believed to act through effects on GABA systems, ultimately leading to an enhancement in GABA-mediated inhibition [3]. Antiepileptic actions are obtained by drugs that target GABA systems in a diversity of ways, including positive modulation of synaptic or extrasynaptic GABA<sub>A</sub> receptors, inhibition of GABA transporters, inhibition of GABA transaminase, and possibly by effects on intermediary metabolism which lead to enhanced intracellular levels of GABA. High intracellular GABA may lead to increases in extracellular GABA as a result of reversal of GABA transporters, resulting in enhanced tonic inhibition mediated by extrasynaptic GABA<sub>A</sub> receptors [25].

### Are Results in Animal Seizure Models Consistent with a Mechanism That Involves GABA Systems?

Anticonvulsant agents that target GABA systems invariably protect against clonic seizures induced by the GABA<sub>A</sub> receptor antagonist pentylenetetrazol in rodents. In 1972, Uhlemann and Neims [26] reported that a ketogenic diet did not confer protection against pentylenetetrazol-induced clonic seizures in mice, a result we have recently confirmed in our laboratory (A.L.H., M. Lyle, M.G., and M.A.R., unpublished data) (Table 1). In the study of Uhlemann and Neims [26], the diet provided partial protection in the maximal electroshock test in mice, a model of tonic seizures that has a distinct profile from that of the pentylenetetrazol test. Anticonvulsant agents that target the GABA system are usually only weakly effective in the maximal electroshock test and some are ineffective. In contrast, the ketogenic diet was found to be protective in the pentylenetetrazol test in rats [25–28]. The diet also was effective against seizures induced by the GABA<sub>A</sub> receptor antagonists bicuculline and picrotoxin in rats [31], but did not confer sustained protection against fully kindled seizures in the rat amygdala kindling model [21,22]. Anticonvulsant treatments that act through effects on GABA mechanisms are generally active in these models.

The basis for the differential effectiveness of the ketogenic diet against pentylenetetrazol seizures in rats and mice is not known. The lack of activity in mice raises doubt that effects on GABA mechanisms contribute in a substantial way to the seizure protection conferred by the diet. Recently, we found that the ketogenic diet is highly protective against 6 Hz electroshock seizures in mice, a model of limbic seizures that shows a similar sensitivity to anticonvulsant agents that act on GABA systems as does the pentylenetetrazol test [32,33] (A.L.H., M. Lyle, M.G., and M.A.R., unpublished data). In particular, anticonvulsant agents that target GABA systems are highly effective and relatively potent in the 6 Hz model.

The 6 Hz model is attractive for studying the ketogenic diet in rats and mice for other reasons. Animals fed a ketogenic diet may gain weight less well than those fed a control diet, and it has been suggested that the mismatch in weights between the diet and control groups can artefactually skew results obtained in the pentylenetetrazol infusion test because threshold doses are calculated on a per body mass basis [30]. One advantage of the 6 Hz model is thus the elimination of the confounding effects of weight differences.

Table 1 compares the activity profile of the ketogenic diet in mouse and rat seizure models. Some important differences between the two species are revealed. For example, the diet protects against pentylenetetrazol-induced seizures in rats but not mice, whereas the opposite pattern is observed for flurothyl seizures. The significance of these species differences is not clear, especially given that a divergence between the efficacy of anticonvulsant drugs in mice and rats is rarely observed. (One exception is provided by gabapentin, which protects against pentylenetetrazol seizures in mice but not in rats [34]).

## Effects of the Ketogenic Diet on GABA Synthesis

GABA is synthesized from glutamate by glutamic acid decarboxylase, an enzyme present only in GABAergic neurons. Cheng et al. [35] reported that rats fed a calorie-restricted diet for 7 days show increased expression of various glutamic acid decarboxylase isoforms in selected brain regions, including the superior colliculus and cerebellar cortex. However, the changes in expression were similar whether the animals received an ordinary calorie restricted diet or a high-fat, ketogenic diet, indicating that high fat and ketosis do not have specific effects of glutamic acid decarboxylase expression. Moreover, the brain regions with elevated glutamic acid decarboxylase expression are not those generally considered relevant to seizure protection.

Effects on intermediary metabolism represent an alternative way in which the ketogenic diet could increase GABA levels. Thus, it has been proposed that reduced availability of oxaloacetate drives the reversible aspartate transamination reaction that converts oxaloacetate to aspartate (utilizing an amino group from glutamate) in the direction of oxaloacetate (Fig. 2). This leads to reduced availability of aspartate, and indeed aspartate levels have been found to be reduced in the forebrain and cerebellum of mice that consumed a ketogenic diet for 3 days [36].

In the presence of plentiful acetyl-CoA from fat metabolism, the first segments of the Krebs cycle are highly active, producing an abundance of  $\alpha$ -ketoglutarate, the levels of which have been observed to be increased in an adult rat ketogenic diet model [37]. The equilibrium of the transamination reaction toward oxaloacetate in the presence of increased  $\alpha$ -ketoglutarate implies that availability of glutamate will be enhanced. Total brain glutamate is not increased by the ketogenic diet [38,39], although there may be small regional increases [40]. Radiotracer study has demonstrated increased synthesis of glutamate in ketotic mice consuming a ketogenic diet [38]. Thus, the flux through glutamate synthesis is enhanced, but because glutamate levels are only slightly elevated if at all, glutamate metabolism must also be increased.

Glutamate is the precursor of GABA via the GABA shunt, and it has been hypothesized that the increased flux through glutamate reflects increased GABA production [38,40]. However, in various ketogenic diet models, GABA levels were unchanged in mouse forebrain and cerebellum [36], mouse whole brain [38], mouse neocortex [41], and rat whole brain [42,43]. Although these data indicate that widespread increases in brain GABA levels do not occur in the ketogenic diet, they do not rule out the possibility of more specific local or regional changes in GABA content [40]. Moreover, when ketotic animals were loaded with alanine (a potential amino group donor in the conversion of  $\alpha$ -ketoglutarate to glutamate in GABA neurons [44]) or leucine (which may play a similar role in GABA synthesis [38]), they did exhibit higher total brain GABA levels than did control mice. Because glutamate is the precursor of GABA, enhanced glutamate availability provided by the amino acids could potentially be responsible for the increased GABA.

Although animal studies have failed to demonstrate effects of the ketogenic diet on brain GABA levels in the absence of amino acid loading, a recent study on cerebro-spinal fluid amino acid levels before and during the ketogenic diet found evidence of increased cerebrospinal fluid GABA [45]. GABA levels were higher in responders than in nonresponders, and, in the best responders, GABA levels were significantly higher at baseline as well as during the diet. In that study, children under 5.5 years of age had higher cerebrospinal fluid GABA levels during the diet than did older children.

The fact that some of the key enzymes that could be relevant to the response to ketosis are expressed maximally early in life—including the monocarboxylic acid transporter MCT-1, which transports the ketones  $\beta$ -hydroxybutyrate and acetoacetate across the blood-brain barrier [46]—could explain the higher cerebrospinal fluid GABA levels seen in younger children in

this study. Magnetic resonance spectroscopy can be used in human subjects for noninvasive determination of brain GABA levels. At present, only limited and inconclusive data are available [47], but the approach has promise.

### Effects of Ketone Bodies on the Physiology of GABA Inhibition

Early in the study of the ketogenic diet, a structural similarity was noted at the carboxy-terminus between GABA and the ketone bodies acetoacetate and  $\beta$ -hydroxy-butyrate [40]. It was proposed that these ketone bodies might act as GABA receptor agonists. However, studies in cultured rat hippocampal neurons [48] and rodent neocortical neurons [49] failed to demonstrate an effect of the ketone bodies on GABA receptor currents, making this proposed mechanism unlikely.

On the other hand, in vivo field recordings in rats fed a ketogenic diet demonstrated greater angular bundle-evoked paired-pulse inhibition in the dentate gyrus than in animals fed a normal diet [50]. In addition, the threshold for activation of electrographic seizures was elevated in the animals receiving the ketogenic diet. Moreover, both phenomena also occurred in rats on a calorie-restricted diet. This study is important in that it is the most direct demonstration available to date that the ketogenic diet is associated with enhanced fast (GABA-mediated) synaptic inhibition. However, the fact that inhibition was also enhanced with calorie restriction alone suggests that it may be the calorie restriction in the ketogenic diet, and not ketosis per se, that is the critical factor in the effect on inhibitory synaptic function. This conclusion is compatible with the studies, already noted, in which ketone bodies did not influence GABA receptor responses [48,49].

Although the available evidence suggests that ketone bodies are not a factor in the effect of the ketogenic diet on inhibitory synaptic function, ketone bodies have been found to increase brain synaptosomal GABA content [51], as occurs with the anticonvulsant vigabatrin [52], raising the possibility that ketone bodies might have functional effects similar to those of vigabatrin. In these two studies, however, the effect on synaptosomal GABA levels did not result from inhibition of GABA-transaminase.

### Do Neurosteroids Play a Role in the Anticonvulsant Activity of the Ketogenic Diet?

Endogenous neurosteroids derived from steroid hormone precursors, including allopregnanolone and tetrahydrodeoxycorticosterone, have been implicated as endogenous regulators of seizure susceptibility [53]. These neurosteroids act as powerful positive allosteric modulators of GABA<sub>A</sub> receptors, and they have anticonvulsant activity in diverse animal seizure models that are sensitive to agents that act on GABA systems. Perhaps elevated steroid synthesis during consumption of a ketogenic diet high in saturated fats [54] could lead to increased neurosteroids, accounting for the effects the diet has on seizures. This intriguing hypothesis has received only scant experimental support to date.

The neurosteroid synthesis inhibitor finasteride appeared to reverse the ability of the ketogenic diet to raise the threshold for clonic seizures induced by intravenous kainic acid in mice (A.L.H., M.G., and M.A.R., unpublished data). In experiments with the 6 Hz model, however, which is highly sensitive to neurosteroids [55], the protective effects of the ketogenic diet were not influenced by finasteride pretreatment (A.L.H., M.G., and M.A.R., unpublished data). Moreover, Rhodes et al. [56] reported that plasma levels of the neurosteroids allopregnanolone and androstenediol actually decreased in female rats fed a ketogenic diet. (The blood samples used for these measurements were collected after the animals had received pentylentetrazol for seizure testing; confirmation of this result in animals not exposed to pentylentetrazol is necessary.)

Overall, the available evidence does not indicate that the neurosteroid hypothesis should be given serious consideration.

## Excitatory Amino Acid Systems

Decreases in glutamate, the major excitatory neuro-transmitter in brain, could theoretically contribute to the anticonvulsant activity of the ketogenic diet. A recent study of 5-month-old rats of the GAERS (genetic absence epilepsy rats of Strasbourg) strain receiving the ketogenic diet for 3 weeks did reveal small decreases in cortical glutamate levels by  $^{13}\text{C}$  nuclear magnetic resonance spectroscopy [57]. (Note that the diet did not provide seizure protection in this model of primary generalized epilepsy, despite human data indicating that it is highly effective for generalized epilepsies without an obvious environmental precipitant [1].)

As already noted, however, most studies have not observed decreases in glutamate levels. Rather, metabolic flux through glutamate may be enhanced by the ketogenic diet as a result in the shift in the equilibrium of aspartate transamination toward glutamate because of reduced availability of the reactant oxaloacetate (Fig. 2). The shift in this transamination reaction would be expected to reduce aspartate, and substantial (23%) decreases in whole brain aspartate levels have been observed in mice receiving a ketogenic diet for 3 days [38], although this is not a consistent finding [39]. Anticonvulsant effects of the diet are not seen until after 7 to 10 days in rodents [42,58]. Whether there would be larger changes in aspartate or glutamate at later times is not known.

Yudkoff et al. [59] reported that the ketone body acetoacetate markedly reduces transamination of glutamate to aspartate by astrocytes, providing an alternative way in which the ketogenic diet could reduce aspartate levels. Although aspartate selectively activates *N*-methyl-D-aspartate-type glutamate receptors, the status of aspartate as an excitatory neurotransmitter is unresolved.

Aspartate is not a substrate for the vesicular glutamate transporters, and some studies have indicated that aspartate is not released in a calcium-dependent fashion by depolarizing stimuli. Recently, however, evidence has been presented that aspartate is contained in synaptic vesicles in the hippocampus, and possibly other brain regions, where it can be released in a calcium-dependent manner through mechanisms that are regulated differently from that of glutamate [60].

Release of aspartate has been proposed to occur mainly outside of synaptic active zones, where it may serve as an agonist for extrasynaptic *N*-methyl-D-aspartate receptors [61]. Regulating the tonic activation of neurons by extrasynaptic *N*-methyl-D-aspartate receptors is an attractive mechanism by which level of seizure susceptibility could be set. If this mechanism is shown to influence vulnerability to seizures, it is apparent that reducing aspartate levels, as occurs with the ketogenic diet, would bias toward reduced seizures.

A recent study of cerebrospinal fluid amino acids in 26 children (ages 1.3 to 15.8 years) on the ketogenic diet provides partial confirmation that the diet induces alterations in the metabolism of excitatory amino acids, with greater effects on aspartate than on glutamate [45]. In these children, there were nonsignificant trends toward reduced aspartate (72% of baseline) and glutamate (75% of baseline) levels in cerebrospinal fluid samples obtained during the diet, compared with a prediet baseline value. In 13 younger subjects (age <5.5 years), the reductions were statistically significant, with aspartate levels reduced to 50% of baseline and glutamate reduced to 79% of baseline.

## Do Ketone Bodies Influence Ionotropic Glutamate Receptors?

Agents that block ionotropic glutamate receptors exert anticonvulsant activity in diverse animal seizure models, including the maximal electroshock test [62]. The ketogenic diet may have activity in the maximal electroshock test in mice, although not in rats (Table 1), raising the possibility that some aspect of the diet, perhaps ketone bodies themselves, could block ionotropic glutamate receptors. However, studies in cell culture have failed to observe effects of  $\beta$ -hydroxybutyrate or acetoacetate on *N*-methyl-D-aspartate or AMPA receptor-mediated synaptic currents [48] or on responses to exogenously applied *N*-methyl-D-aspartate or AMPA [49]. Whether acetone is similarly inactive on ionotropic glutamate receptors remains to be determined.

## Role of Norepinephrine and Peptidergic Neurotransmitter Systems

Alterations in the activity of regionally specific neuro-transmitters such as the monoamines norepinephrine, serotonin, and histamine and the neuropeptides galanin and neuropeptide Y can influence seizure susceptibility. Little is known about the effects of the ketogenic diet on these neurotransmitter systems. However, seizure protection by the ketogenic diet, at least in the flurothyl seizure model, appears to be dependent upon the integrity of norepinephrine signaling. Thus, mice lacking norepinephrine due to knockout of the gene for dopamine  $\beta$ -hydroxylase, which encodes the enzyme that catalyzes the conversion of dopamine to norepinephrine, failed to show an elevation in flurothyl threshold when fed a ketogenic diet [63].

In this regard, the ketogenic diet is similar to commonly used anticonvulsant medications that also require an intact norepinephrine system, such as phenobarbital [64]. In a separate study, effects of the diet on maximal electroshock seizures were unaltered in mice lacking the norepinephrine transporter, which would be expected to elevate synaptic norepinephrine levels [65]. Whether alterations in norepinephrine signaling would affect the activity of the ketogenic diet in other seizure models remains to be determined.

It has been suggested that anticonvulsant neuropeptides involved in fat metabolism could have a role in the efficacy of the ketogenic diet [66], but the diet does not change galanin or neuropeptide Y levels [67].

## Effects on Ion Channels and Proteins Associated with Synaptic Transmission

Alterations in the expression of ion channels or in the machinery of synaptic transmission would be expected to influence seizure susceptibility. Indeed, a recent microarray study of gene expression in the hippocampus of rats fed a ketogenic diet for three weeks revealed changes in the transcripts of a total of 39 genes that encode such proteins [39]. Most transcripts were reduced in animals receiving the diet, including the voltage-dependent calcium channel subunits  $\gamma 4$  and  $\alpha 1D$ , the *CICN1* chloride channel, the *KCNH3* and *KCNE1*-like potassium channels, *P2X3* and *P2X7* purinergic receptors, and synapto-tagmins 6 and XI. Other transcripts were upregulated, including subunits of the ionotropic glutamate receptors *GluR2* and *KA1*, the *KCNN2* potassium channel, the *SCN1a* type I $\alpha$  sodium channel subunit, and the glutamate transporter *EAAC1*.

No changes were observed in GABA receptors subunits, although in another study expression of the GABA<sub>A</sub> receptor  $\alpha 4$  subunit was downregulated in the hippocampus of mice fed a ketogenic diet [68]. That study also found reduced expression of the G-protein-coupled inward rectifier potassium channel *Kir3.3*. The functional significance of these changes in ion channel expression remains to be determined.

In addition to effects on ion channel expression, metabolic changes produced by the diet could cause functional alterations in ion channel activity. ATP-sensitive potassium channels ( $K_{ATP}$ ), which are inhibited by high intra-cellular ATP levels, are of particular relevance [1, 69]. A role for  $K_{ATP}$  channels in the ketogenic diet was suggested by data showing that the ketone bodies  $\beta$ -hydroxybutyrate and acetoacetate decreased the firing rate of rodent substantia nigra pars reticulata neurons *in vitro*; blockade of these channels abolished the effect, indicating that the decrease in neuronal firing is due to opening of  $K_{ATP}$  channels induced by the ketone bodies [70]. To date, however, it has been difficult to show a role for  $K_{ATP}$  channels in the regulation of seizure susceptibility except in conditions of metabolic stress [71,72]. Moreover, the ketogenic diet [73], and  $\beta$ -hydroxybutyrate [74,75] in particular, has been reported to elevate ATP levels, which would be expected to inhibit  $K_{ATP}$  channels and, if anything, would promote (rather than depress) brain excitability. Not all studies have shown an increase in ATP levels, however [43].

## Effects on Energy Metabolism

During consumption of the ketogenic diet, ketone bodies replace glucose as a source of energy for the brain. These ketone bodies may be a more efficient source of energy per unit oxygen than glucose [76]. In addition, the ketogenic diet causes a coordinated upregulation of mitochondrial genes and genes involved in energy metabolism, and appear to stimulate the biogenesis of mitochondria as assessed by electron microscopy [39]. Together, the availability of a more efficient fuel and an increase in the number of mitochondria provide an increase in cellular energy production capacity and reserves. It seems plausible that the greater energy reserve would enhance the capacity of neurons to withstand metabolic challenges and could account for the ability of the diet to confer neuroprotection in models of neurodegenerative diseases or stroke [5]. It also has been proposed that effects of the ketogenic diet on brain energetics contribute to the seizure protection conferred by the diet [37,42], although there is little experimental support for this concept.

## Changes in Cerebral pH and Ion Concentrations in the Ketogenic Diet

One of the first hypotheses proposed to explain the anticonvulsant action of the ketogenic diet was that the diet causes a drop in cerebral pH. However, changes in cerebral pH have not been observed in rats consuming a ketogenic diet, nor have changes been noted in blood pH in humans consuming the ketogenic diet [7,43]. Similarly, there is no evidence that the diet causes changes in ion concentrations that could account for its anticonvulsant activity. There were no differences in blood or brain potassium or calcium concentrations in rats consuming a ketogenic diet, although rats on the diet did have lower brain (but not blood) sodium levels than controls [42]. Serum levels of sodium, potassium, and calcium were similarly unaltered in humans consuming a ketogenic diet [77].

## Comparison between the Profiles of the Ketogenic Diet and Anticonvulsant Drugs in Animal Seizure Models

Table 2 summarizes the profile of the ketogenic diet in mouse models commonly used to identify the anticonvulsant activity of test substances. The table also gives the profiles of the major clinical antiepileptic drugs in the same models. Notably, the ketogenic diet has a different pattern of activity from all of the medicines listed in the table. This pattern suggests the ketogenic diet exerts its anticonvulsant actions via a distinct set of mechanisms from that of the major antiepileptic drugs.

The profile of the diet is distinct from classical sodium channel blocking drugs because, unlike these agents, it has variable activity in the maximal electroshock test and is highly active in

the 6 Hz model. Similarly, the profile of the diet is distinct from that of drugs that are believed to act predominantly through GABA systems (benzodiazepines, vigabatrin, tiagabine) in that it is not active in the PTZ model in mice. The profile of the ketogenic diet also differs from agents with complex actions including topiramate and felbamate.

Although limited data are available, the profile of zonisamide may be most similar to the ketogenic diet; however, the ketogenic diet protects against seizures induced by bicuculline, whereas zonisamide does not [78]. Zonisamide probably acts through a variety of mechanisms, including via effects on sodium channels and possibly T-type calcium channels, although a complete understanding of its cellular actions is probably still not at hand [4]. In any case, it seems likely that the ketogenic diet also acts through several different mechanisms, and may even exert its effects through different mechanisms in different patients [1].

The ketogenic diet, with its high fat content, has been compared also to valproate, a fatty acid derivative [79]. The anticonvulsant mechanism of action of valproate is likewise not well understood. However, Table 2 reveals many differences in the profiles of valproate and the ketogenic diet. Note that many patients who do well on the ketogenic diet have failed valproate therapy, supporting the concept that valproate and the diet do not have overlapping mechanisms.

Anecdotal evidence suggests that the ketogenic diet may be effective in patients who have failed many of the antiepileptic drugs listed in Table 2, indicating that it is indeed unique in its actions from antiepileptic drugs.

## Antiepileptogenic Activity of the Ketogenic Diet

Many conventional anticonvulsant medications do not interfere with epileptogenesis in animal epilepsy models, such as the amygdala kindling model. However, there are some anticonvulsant medications (most notably valproate, levetiracetam, and drugs such as benzodiazepines that potentiate GABA<sub>A</sub> receptors) that do suppress the evolution of kindling in rodents [80,81]. Nevertheless, to date, no anticonvulsant medication has been demonstrated to protect against the development of epilepsy in clinical trials.

As is the case for the aforementioned medications, there is evidence that the ketogenic diet can protect against the development of epileptic seizure susceptibility, an effect that is distinct from its ability to inhibit seizures (Table 1). This was first demonstrated in 1999 by Muller-Schwarze et al. [82], who found that rats fed a ketogenic diet had fewer and briefer spontaneous recurrent seizures after kainic acid-induced status epilepticus than did control animals. In a subsequent study it was found that early initiation of the diet is necessary to obtain this antiepileptogenic effect [83].

The ketogenic diet was also found to have antiepileptogenic-like activity in an in vivo rat model of progressive hippocampal hyperexcitability that resembles kindling [50]. In this model, repetitive electrical stimulation of the angular bundle causes the progressive prolongation of afterdischarges in the dentate gyrus. Animals fed a ketogenic calorie-restricted diet exhibited a reduced rate in the evolution of this kindling-like phenomenon, compared with rats receiving either a normal calorie-restricted diet or a normal ad libitum diet. A recent study provides a hypothesis to explain the antiepileptogenic activity of the switch to fat metabolism from glycolysis in the ketogenic diet [84]. In that study, the nonmetabolizable glucose analog 2-deoxy-D-glucose was found to inhibit the development of kindling and to suppress seizure-induced increases in the transcription of brain-derived neurotrophic factor and its receptor TrkB. These results are intriguing in view of the evidence implicating brain-derived neurotrophic factor signaling in the development of temporal lobe epilepsy [85]. However, it

remains to be demonstrated that reduced glycolysis acting through brain-derived neurotrophic factor signaling is the specific mechanism for antiepileptogenesis in the ketogenic diet.

## Conclusions

Although the ketogenic diet has been applied clinically to the treatment of epilepsy for more than 85 years and now is often used as a last resort therapeutic modality for the most seriously affected patients, the mechanisms underlying seizure protection conferred by the ketogenic diet are still poorly defined.

A consideration of the spectrum of activity of the diet in acute animal seizure models suggests that the diet acts in a mechanistically distinct way from clinically used antiepileptic drugs. It has been proposed that GABAergic mechanisms could play a role, and this has been confirmed in at least one physiological model, but understanding of how the diet could influence GABAergic function is elusive. There is no compelling evidence that the ketogenic diet alters brain metabolism to induce global changes in GABA levels, although the possibility of regional changes or effects on the dynamics of synaptic GABA have not been studied adequately. In addition, there is no experimental support for effects of the diet or ketone bodies on GABA-mediated synaptic transmission or on the activity of GABA neurons. In particular, ketone bodies do not appear to influence postsynaptic GABA<sub>A</sub> receptors, which represent a key target for some traditional antiepileptic medications. The situation is comparable to that of valproate, which does not affect GABAergic inhibition through known mechanisms at therapeutic concentrations but is effective in many of the same animal models as drugs that act through GABA systems.

The ketogenic diet and valproate have different profiles of activity in animal models, and the diet may be effective for some patients who have failed to respond adequately to valproate (which suggests that their mechanisms are distinct). The conclusion that the ketogenic diet acts in a mechanistically novel way is supported by anecdotal clinical evidence that it confers seizure protection for some patients with pharmacoresistant epilepsies. Therefore, it is unlikely that the mechanism of the diet overlaps completely with drugs currently available.

The potential role of acetone in the mechanism of action of the ketogenic diet is intriguing. Studies to determine whether brain levels of acetone during consumption of the diet are within the range of those known to confer seizure protection have not yet been conducted because of the difficulty of measuring acetone, but are feasible. In addition, it will be of interest to determine whether acetone protects against epileptiform activity in *in vitro* models through traditional mechanisms, or whether it acts on novel targets, such as gap junctions, which appear to mediate epileptiform activity in some circumstances and are influenced by small molecules that are structurally similar to ketone bodies [86].

A better understanding of the mechanism of action of the ketogenic diet will refine its clinical use. It is already clear that different dietary regimens, such as the Atkins diet [87] or the low glycemic index diet [88], confer seizure protection, but there may be differences from the classical ketogenic diet, if only in palatability and side effects. An insight into the underlying mechanisms should allow dietary therapy to be optimized, maximizing efficacy while minimizing side effects.

The ketogenic diet is now being considered for diverse neurological indications other than epilepsy, largely because of its putative neuroprotective properties [5]. A mechanistic understanding will allow a more rational assessment of the potential utilities of the diet (or variants) in a broad range of conditions associated with neurodegeneration and neural injury including Alzheimer disease, Parkinson disease, traumatic brain injury, and stroke.

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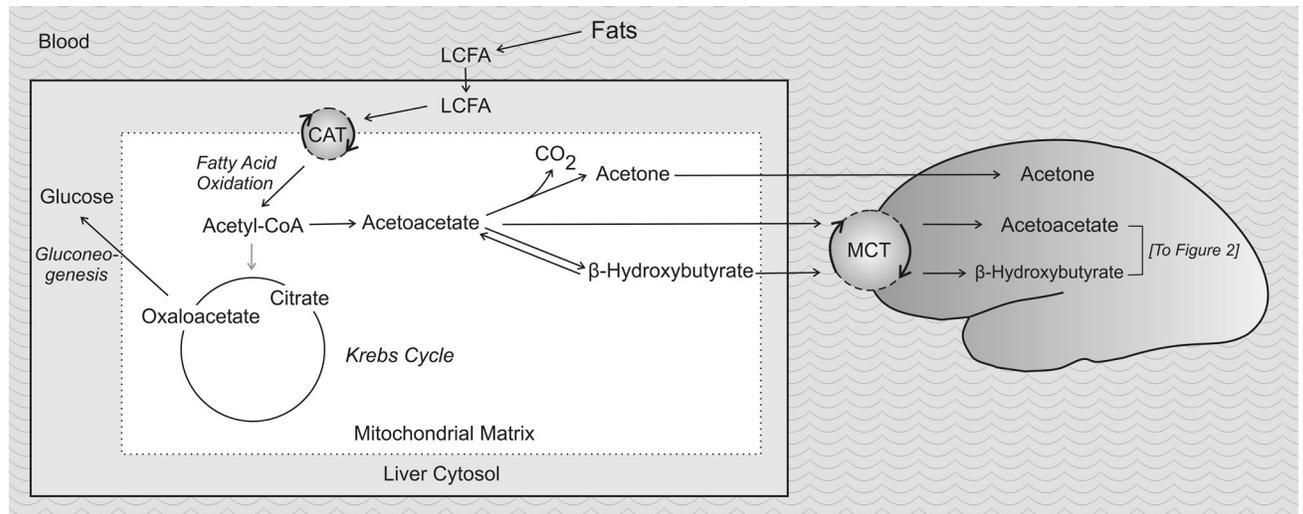
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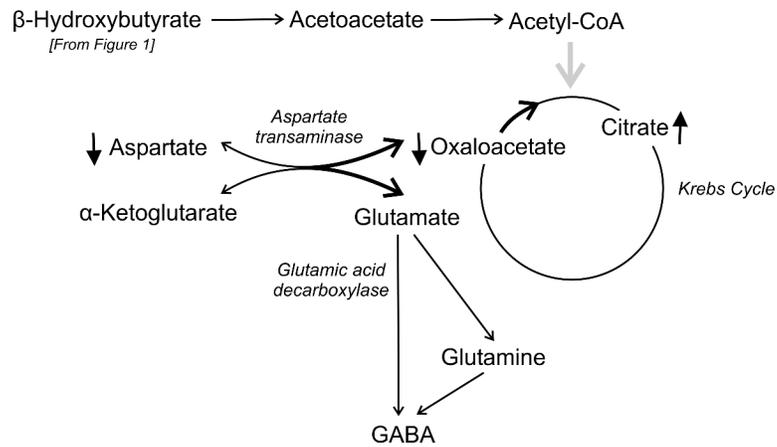
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**Figure 1.**

Alterations in intermediary metabolism during the high-fat, low-carbohydrate ketogenic diet that lead to the formation of ketone bodies. The ketogenic diet provides high levels of long chain fatty acids and is deficient in carbohydrates so that glucose availability is severely limited. The demand to maintain serum glucose causes oxaloacetate to be shunted from the Krebs cycle to the pathway for gluconeogenesis, a multistep process that is the reverse of glycolysis. As a result of diminished oxaloacetate, the Krebs cycle has reduced capacity to handle the high levels of acetyl-CoA generated from fat. Instead, acetyl-CoA is converted to the ketone body acetoacetate which spontaneously degrades to acetone. Acetoacetate also is converted enzymatically to  $\beta$ -hydroxybutyrate in a reversible reaction catalyzed by the NADH-dependent mitochondrial enzyme  $\beta$ -hydroxybutyrate dehydrogenase. Ketone bodies represent alternative energy substrates for the brain. Not all Krebs cycle intermediates are shown in the schematic. Abbreviations: CAT, carnitine-acylcarnitine translocase; LCFA, long chain fatty acids; MCT, monocarboxylic acid transporter.



**Figure 2.**

Alterations in the metabolism of excitatory amino acids and  $\gamma$ -aminobutyric acid (GABA) during the high-fat, low-carbohydrate ketogenic diet. Metabolism of acetyl-CoA generated from fats leads to high consumption of oxaloacetate (see Fig. 1). L-Aspartate, a nonessential amino acid, is formed by the transamination of oxaloacetate with an amino group from glutamate. Reduced availability of oxaloacetate along with robust availability of  $\alpha$ -ketoglutarate from high activity of the first part of the Krebs cycle leads to low aspartate levels. It has been hypothesized that more glutamate is thus accessible to glutamic acid decarboxylase for production of GABA [33]. Not all Krebs cycle intermediates are shown in the schematic.

**Table 1**  
**Protective activity of the ketogenic diet in rodent seizure models**

Seizure Model	Mouse	Rat	References
Maximal electroshock	+/-	-	[26,29,89,90]
Electroconvulsive threshold	+/-	+	[26,42,73,89,91]
Pentylentetrazol	-	+	[26,27,29,92]
Bicuculline	+	+	[26,31]
Picrotoxin		+	[31]
6 Hz	+		A.L.H., M. Lyle, M.G., and M.A.R., unpublished data
Kainic acid (i.v.)	+	LST	[31,93]
Amygdala kindling		+/-	[22,24]
Flurothyl	+	-	[58,63,94]
Genetic absence epilepsy (GAERS rats)		-	[57]
EL mice	+		[95]
Frings audiogenic seizure susceptible mice	+		[17]
Spontaneous recurrent seizures after kainic acid status epilepticus		+	[82]
Spontaneous recurrent seizures after lithium-pilocarpine status epilepticus		-	[94]

Abbreviations:

LST = Lowers seizure threshold

+, -, and +/- = Effective, ineffective, and conflicting results, respectively

**Table 2**  
**Comparison of the ketogenic diet with antiepileptic drugs in selected acute seizure tests in mice**

Treatment	PTZ	MES	6 Hz	BIC	KA	NMDA	AMPA	ATPA	AMN	References
Ketogenic diet	-	+/-	+	+	+	-	LST	-	-	[26,93] and A.L.H., M. Lyle, M.G., and M.A.R., unpublished data
Phenytoin	-	+	-	-	+/-	+/-	+	+	-	[34,96-99]
Carbamazepine	-	+	+	-	+/-	+/-	+	+/-	-	[34,96-98, 100,101]
Oxcarbazepine	+	+	+	nt	nt	nt	nt	nt	nt	[102-104]
Lamotrigine	-	+	-	-	+	+	+	+	nt	[34,96,98, 105]
Zonisamide	-	+	+	-	+	nt	nt	-	nt	[34,103] and R.M. Kaminski, unpublished data
Ethosuximide	+	-	+	+	+/-	-/LST	+	+	-	[34,96-99, 100]
Clonazepam	+	+	+	+	+	+/-	+	+	+	[34,96,98, 100,106]
Vigabatrin	+/-	-	nt	+	+	-	-	-	-	[98,105-107]
Tiagabine	+	-	+	-	nt	nt	nt	nt	nt	[34,96,105]
Phenobarbital	+	+	+	+	+	+	+	+	+	[34,96-98]
Valproate	+	+	+	+	+/-	+	+	+	+	[34,96,98, 108]
Felbamate	+	+	+	-	nt	+	nt	nt	nt	[34,96,109]
Topiramate	-	+	-	-	+	-	-	+	nt	[34,96,100]
Gabapentin	+	+/-	+	-	+	+	+	+	nt	[34,98,103, 105]
Levetiracetam	-	-	+	+	-	-	-	-	nt	[34,96,98]

## Abbreviations:

AMN = Aminophylline

AMPA =  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid

ATPA = 2-amino-3-(5-tert-butyl-3-hydroxy-4-isothiazolyl)propionic acid

BIC = Bicuculline

GABA =  $\gamma$ -aminobutyric acid

KA = Kainic acid

LST = Lowers seizure threshold

MES = Maximal electroshock

NMDA = *N*-methyl-d-aspartate

nt = Not tested

PTZ = Pentylene-tetrazol

+, -, and +/- = Effective, ineffective, and conflicting results, respectively